

# 中金公司服务碳达峰绿色金融行动方案

## CICC Green Finance Action Plan

习近平总书记强调：“实现碳达峰碳中和，是贯彻新发展理念、构建新发展格局、推动高质量发展的内在要求，是党中央统筹国内国际两个大局作出的重大战略决策。”

President Xi Jinping emphasized: “Achieving carbon peak and carbon neutrality is an essential requirement for implementing new development concepts, building a new development pattern, and promoting high-quality development. It is a major strategic decision made by the Party Central Committee after a comprehensive assessment of both domestic and international situations.”

党的二十届三中全会将加快经济社会发展全面绿色转型纳入进一步全面深化改革的总目标。2024年8月，中共中央、国务院印发《关于加快经济社会发展全面绿色转型的意见》，要求“加快构建新发展格局，坚定不移走生态优先、节约集约、绿色低碳高质量发展道路，以碳达峰碳中和工作为引领，协同推进降碳、减污、扩绿、增长，深化生态文明体制改革，健全绿色低碳发展机制，加快经济社会发展全面绿色转型”。

The Third Plenary Session of the 20th Central Committee of the Communist Party of China included accelerating the comprehensive green transition of economic and social

development as part of the overall goal for further deepening reforms. In August 2024, the Central Committee of the Communist Party of China and the State Council issued the “Opinion on Accelerating the Comprehensive Green Transition of Economic and Social Development,” which states: “Accelerate the building of a new development pattern, and unwaveringly pursue a path of high-quality development that prioritizes ecology, conservation, and green, low-carbon methods. Lead with the work on carbon peak and carbon neutrality, and promote the coordinated reduction of carbon emissions, pollution, and the expansion of green areas and economic growth. Deepen the reform of the ecological civilization system, improve mechanisms for green and low-carbon development, and accelerate the comprehensive green transition of economic and social development.”

“十五五”时期是我国经济迈向高质量发展的关键阶段，也是我国实现碳达峰目标的“攻坚时期”。为深入贯彻党中央、国务院关于碳达峰碳中和的重大战略决策，坚定不移落实党对金融工作的集中统一领导，充分发挥金融在推进经济社会发展全面绿色转型中的关键支撑作用，公司决定制定《服务碳达峰绿色金融行动方案》，全面规划“十五五”期间绿色金融工作的战略目标与实施路径，从“四个维度”、“三个机制”、“三项保障”全面推进，以高质量绿色金融实践助力碳达峰目标顺利达成，为我国生态文明建设贡献金融力量。

The “15th Five-Year Plan” period is a critical stage for China’s economy to move towards high-quality development and a crucial period for achieving the carbon peak target. To thoroughly implement the major strategic decisions of the Party Central Committee and the State Council regarding carbon peak and carbon neutrality, and to unwaveringly follow the Party’s centralized and unified leadership in financial work, the Company has decided to formulate the “Green Finance Action Plan” This plan will comprehensively outline the strategic goals and implementation paths for green finance during the “15th Five-Year Plan” period. It will advance efforts from “four dimensions,” “three mechanisms,” and “three guarantees” to promote high-quality green financial practices, support the smooth achievement of the carbon peak target, and contribute to China’s ecological civilization construction through financial efforts.

## **一、从客户、研究、技术、工具等四个维度提升绿色金融综合服务能力**

### **I. Enhancing Comprehensive Green Finance Service Capabilities from Four Dimensions: Customers, Research, Technology, and Tools**

#### **（一）服务客户**

##### **A. Serving Customers**

1. 坚持以服务实体经济为宗旨，以客户为中心，不断提

升绿色金融服务的精准度和覆盖面。提高重点客户覆盖的广度及深度，以重点客户为核心，拓展产业链上下游服务范围，推动产业协同发展。

1. Adhere to the principle of serving the real economy and focus on customer needs to continuously improve the precision and coverage of green financial services. Expand and deepen the reach to key customers, focusing on extending services to the upstream and downstream of their value chains to promote coordinated industry development.

2. 立足服务国家战略全局，聚焦新能源制造、高耗能制造业转型升级、轨道交通建设等八大重点领域，精准锚定核心企业客户需求，支持产业结构优化升级。

2. Align with the overall national strategic goals by focusing on eight key sectors, including new energy manufacturing, the transformation and upgrading of high-energy-consuming industries, and urban rail transit construction. Accurately identify and meet the financial needs of core enterprises to support the optimization and upgrading of industrial structures.

3. 聚焦京津冀协同发展、长三角一体化发展、粤港澳大湾区建设等国家重大区域战略，持续优化资源配置，精准对接区域核心客户多元化金融需求。

3. Focus on major national regional strategies such as the coordinated development of the Beijing-Tianjin-Hebei region, the integrated development of the Yangtze River Delta, and the

construction of the Guangdong-Hong Kong-Macao Greater Bay Area. Continuously optimize resource allocation to precisely meet the diverse financial needs of core customers in these regions.

## **(二) 研究引领**

### **B. Research-driven**

深化研究，聚焦“十五五”期间碳减排目标、重点领域和行业节能降碳行动及对应的绿色投融资需求，推动绿色金融资金向重点领域精准投放。

Deepen research with a focus on the carbon reduction goals, key sectors, and industry energy-saving and carbon reduction actions during the “15th Five-Year Plan” period. Identify and address the corresponding green investment and financing needs to ensure that green financial resources are precisely allocated to these key areas.

## **(三) 技术聚焦**

### **C. Technology Focus**

聚焦支持中国新能源产业链核心技术，服务新能源产业链核心技术企业，助力核心技术的研发、应用与推广，推动绿色技术创新与产业升级，为构建清洁低碳、安全高效的能源体系提供有效的金融支持。

Focus on supporting core technologies in China's new energy industry. Serve enterprises specializing in these core technologies to facilitate their research, development, application,

and promotion. Drive green technological innovation and industrial upgrading to provide effective financial support for building a clean, low-carbon, safe, and efficient energy system.

#### **(四) 工具创新**

##### **D. Tool Innovation**

1. 为绿色金融重点客户提供全谱系、定制化的金融服务。通过精准对接客户需求，提供多元化的金融解决方案，持续深化服务内涵，提升服务质效。积极构建与国际化接轨并具有中国特色的可持续投资标准体系。

1. Offer a full range of customized financial services to key green finance clients. By precisely meeting client needs, provide diversified financial solutions to continuously enhance service depth and improve service quality and efficiency. Actively develop a sustainable investment standards system that aligns with international practices and reflects Chinese characteristics.

2. 建立公司级数字化绿色金融分析工具，为公司绿色金融业务提供科学决策支持，助力提升金融服务的精准度与效率。

2. Establish a firm-level digital green finance analysis tool to provide scientific decision-making support for our green finance business, enhancing the precision and efficiency of our financial services.

3. 探索绿色投融资碳核算，指引公司绿色投融资结构优化。

3. Explore carbon accounting for green investment and financing to guide the optimization of our green investment and financing structure.

4. 建立气候风险管理体系，健全气候风险管理组织架构，确保与公司战略目标、气候风险暴露规模和复杂程度相适应。

4. Develop a climate risk management system with a robust organizational structure to ensure it aligns with our strategic goals, the scale of climate risk exposure, and the complexity of these risks.

## 二、建立完善公司绿色金融工作配套工作机制

### II. Establishing and Improving Green Finance Work Mechanisms

#### （一）强化激励与约束，完善绿色金融考核机制

优化考核结构，将绿色金融业务目标纳入各部门的考核体系中，内部考核对绿色金融业务给予一定的政策倾斜。

1. Strengthen incentives and constraints and improve the green finance evaluation mechanism. Optimize the performance evaluation framework by integrating green finance business goals into the performance evaluation systems of all departments, and provide incentives for green finance activities in internal evaluations.

#### （二）强化部门间绿色金融业务协同机制

推动部门间业务协同增效，充分发挥综合金融服务优势，综合协调各部门业务能力及牌照资源，围绕客户需求提供全

方位、一站式综合绿色服务方案及创新产品。

2. Enhance inter-departmental green finance business coordination. Promote inter-departmental collaboration and synergy to fully leverage the advantages of comprehensive financial services. Coordinate the business capabilities and licensing resources of all departments to provide clients with comprehensive, one-stop green service solutions and innovative products.

### **（三）健全绿色金融工作执行跟踪督办机制**

完善绿色金融工作常态化跟踪机制，聚焦核心业务目标组建跨部门工作专班，强化统筹协调能力，全面提升绿色金融工作效能，为推动绿色金融高质量发展提供坚实保障。

3. Establish a robust green finance execution and tracking mechanism. Develop a regular tracking mechanism for green finance activities. Form cross-departmental task forces focused on core business goals to enhance coordination and overall management capabilities, to comprehensively improve the effectiveness of green finance work and provide a solid foundation for the high-quality development of green finance.

**三、强化公司业务资源支持，为绿色金融工作计划的顺利推进提供坚实保障**

## **III. Strengthening Resource Support to Ensure the Smooth Implementation of the Green Finance Action Plan**

1. 积极鼓励创新绿色金融产品和服务模式，确保创新产



品符合监管要求，推动绿色金融创新在合规框架内稳健发展。

1. Actively encourage the development of innovative green finance products and service models, ensuring that these innovations meet regulatory requirements. Promote the stable and compliant development of green finance innovation.

2. 在确保风险可控的前提下，进一步强化中后台支持体系，提升运营保障能力和服务效率，为业务创新提供坚实基础。

2. Under the premise of risk control, further strengthen the back-office support system to improve operational capabilities and service efficiency. Provide a solid foundation for business innovation.

3. 全力推进绿色金融品牌建设，通过公司在绿色金融领域的创新实践、专业能力与服务成果，打造具有广泛影响力和行业示范性的中金绿色金融品牌，增强市场对中金绿色金融业务的认可度和信任度。

3. Vigorously advance the construction of the CICC Green Finance brand. Through the innovative practices, professional capabilities, and service achievements in the green finance sector, build a CICC Green Finance brand with widespread influence and industry leadership. Enhance market recognition and trust in CICC's green finance business.